

hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 10, 2004

natula: (Cteches B. Goldman

Docket No.: OSTEONICS 3.0-449 (PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Cusick et al.

Application No.: 10/766,789

0/766,789 Group Art Unit: N/A

Filed: January 27, 2004 Examiner: Not Yet Assigned

For: APPARATUS FOR ALIGNING AN

INSTRUMENT DURING A SURGICAL

**PROCEDURE** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. In accordance with the notice at 1276 O.G. 55, copies of U.S. patent and U.S. patent publication references are not enclosed herewith; copies of other references are submitted herewith. A copy of the European Search Report in a co-pending related application is also enclosed herewith. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: June 10, 2004

Respectfully submitted,

Stephen B. Goldman Registration No.: 28,512

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are requir

Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known      |                   |  |  |  |
|------------------------|-------------------|--|--|--|
| Application Number     | 10/766,789        |  |  |  |
| Filing Date            | January 27, 2004  |  |  |  |
| First Named Inventor   | Michael J. Cusick |  |  |  |
| Art Unit               | N/A               |  |  |  |
| Examiner Name          | Not Yet Assigned  |  |  |  |
| Attorney Docket Number | OSTEONICS 3.0-449 |  |  |  |

| U.S. PATENT DOCUMENTS |              |                                                                    |                                |                                                    |                                                                                 |  |
|-----------------------|--------------|--------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|--|
| Examiner<br>Initials* | Cite<br>No.¹ | Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       | AA           | US-4,952,213-A1                                                    | 08-28-1990                     | Bowman Jerald A, et al.                            |                                                                                 |  |
|                       | AB           | US-5,364,401-A1                                                    | 11-15-1994                     | Ferrante, Joseh M., et al.                         |                                                                                 |  |
|                       | AC           | US-5,342,368-A1                                                    | 08-30-1994                     | Pettersen, Thomas D.                               |                                                                                 |  |
|                       | AD           | US-5,681,316-A1                                                    | 10-28-1997                     | DeOrio et al.                                      |                                                                                 |  |
|                       | AE           | US-6,090,114-A1                                                    | 07-18-2000                     | Matsuno et al.                                     |                                                                                 |  |
|                       | AF           | US-5,788,700-A1                                                    | 08-04-1998                     | Morawa et al.                                      |                                                                                 |  |
|                       | ĀG           | US-4,892,093-A1                                                    | 01-09-1990                     | Zarnowski et al.                                   |                                                                                 |  |
|                       | АН           | US-6,685,711                                                       | 02-03-2004                     | Axelson Jr., et al.                                |                                                                                 |  |

| FOREIGN PATENT DOCUMENTS |              |                                                                                                            |                                   |                                                    |                                                                                 |    |  |
|--------------------------|--------------|------------------------------------------------------------------------------------------------------------|-----------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----|--|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T⁵ |  |
|                          |              |                                                                                                            |                                   |                                                    |                                                                                 |    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |              |                                                                                                                                                                                                                                                                 |    |  |  |
|---------------------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--|--|
| Examiner<br>Initials            | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |  |  |
| تميد                            | AA           | European Search Report in re: Application No. 04250551, dated May 14, 2004                                                                                                                                                                                      | L. |  |  |
|                                 | AB           |                                                                                                                                                                                                                                                                 |    |  |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. 495195 1.DOC